

LLNL File No.  
IL 10507

This invention was made in the course of or under prime Contract No. W-7403-ENG-48 between the U.S. Department of Energy and the University of California. This Record of Invention is prepared for the Office of the Assistant General Counsel for Patents, U.S. Department of Energy.

## I. Title of the Invention

Optical coatings for parasitic suppression with near unity low angle reflectivity

## II. Inventor Information

| LLNL Inventor(s) (First, Middle, Last) | Title/Position | Directorate | Payroll Acct | Phone Number | Mail Stop |
|--|----------------|-------------|--------------|--------------|-----------|
| Eric C. Honea                          | Physicist      | Lasers      | 9873-00      | 2-8118       | L-441     |
| Raymond J. Beach                       | Physicist      | Lasers      | 9873-00      | 3-8986       | L-441     |
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| Non-LLNL Inventor(s) (F, M, L) | Title/Position | Employer | Phone Number | Fax Number | Subcontract # |
|--------------------------------|----------------|----------|--------------|------------|---------------|
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## III. Abstract

Using transparent optical coatings of controlled index, we have demonstrated a laser gain element with total-internal-reflection used to confine pump light while suppressing parasitic oscillations which would otherwise deplete the stored energy. The index of refraction of the transparent optical coating determines which rays undergo reflection at the interface between the gain element material and optical coating. Rays with angles larger than this critical angle for total internal reflection reach the outer surface of the coating. By depositing a diffuse reflectance material such as powdered BaSO<sub>4</sub>, an absorbing film such as Ge, or roughening the surface to reduce the specular reflectivity, these rays can be absorbed or scattered. The principle was demonstrated with a rectangular parallelepiped Yb:YAG slab of dimensions 2.5 x 3.5 x 100 mm using Al<sub>2</sub>O<sub>3</sub> coatings and a combination of India ink and BaSO<sub>4</sub> diffuse reflectance material on the outer surfaces. The experiments showed a net gain of 0.8 nepers compared to a predicted value of 0 nepers without the coatings.

## IV. Keywords for Potential Licensees

List keywords for database searches for appropriate companies to contact concerning your invention.

Parasitic laser oscillations, diode-pumped solid-state lasers

## V. Keywords for Patent Search

List keywords we can use for an effective patent search.

Parasitic laser oscillations, diode-pumped solid-state lasers

## VI. Uses of the Invention

List past uses, current uses and potential uses for your invention  
LLNL or Government uses or possibilities for use:

Parasitic suppression in solid-state laser devices where the laser or pump beams are reflected off of a surface of the gain element. For applications involving laser illumination, materials processing and laser weapons.

Commercial or other uses or possibilities for use:  
Same as above.

## VII. Documents Describing the Invention

Documents, publications, and presentations describing the invention that you have published or prepared for publication, or presented on the subject. Also include presentations and publications planned within one year from now. Please attach a copy of preprints, articles, or viewgraphs.

| Title/Subject   | Date        | Publication # |
|---|-------------|---------------|
| Briefing to Boeing (formerly Rockwell): "Kilowatt-class Yb:YAG slab laser for illuminator applications" | Jul 2, 1997 |               |
| Air Force personnel from Phillips Lab   | 1997        |               |
| Briefing to CRADA partner personnel   | Sep 9, 1997 |               |
|   |             |               |
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## VIII. Documents Describing Prior Art (Please include copies of these documents.)

Related Documents (including patents, other publications) Please include patent numbers, authors, title, publication date, etc.

"Parasitic Oscillation Suppression in Solid State Lasers using Absorbing Thin Films", Patent No. 5,335,237 Luis Zapata, and references therein.

## IX. Background

Background of the invention, including technical problems addressed by it

In many laser devices the laser and/or pump beams are reflected off of a polished face of the laser gain element. For instance, the zig-zag slab laser geometry relies on low-loss reflections of the laser beam, and many systems confine diode pump light by total internal reflection off of polished faces of the laser rod or slab. Since parasitic oscillations or amplified spontaneous emission can also reflect off of these faces, it is usually necessary to take great care to avoid geometries where rays can be reflected with low loss and path lengths long enough to result in substantial amplification and depletion of the stored energy. In cases where these undesirable rays fill the entire gain volume, the entire stored energy can be depleted before useful extraction. In particular, some of these undesirable rays can be trapped in the laser volume via total-internal reflections, suffering little or no loss. This can prevent any useful gain  $> 0$  nepers.

Lasers to date have solved this problem by applying a ground finish to reduce the specular reflectivity, or applying an absorbing film or layer to some of the surfaces of the laser gain element. This limits the design options since the limited reflectivity can impact pump delivery or possible laser geometries. Our invention enables surfaces to have low specular reflectivity for high angles which would be sampled by parasitic oscillations, but maintain high reflectivity for low angles useful for confining pump light or reflecting the laser beam.

## X. Invention Description

Description of the invention (you may also attach a paper). Please include a sketch of the invention, if possible.

Figure 1 (attached) shows a surface of a laser gain element with incident rays  $r_1$  and  $r_2$  at angles  $\theta_1$  and  $\theta_2$ . The surface of the gain element (with index  $n_1$ ) shown in the figure has a transparent coating of index  $n_2$ . For coating thicknesses sufficiently large, angles  $\theta_1 > \text{ArcSin}(n_2/n_1)$  are reflected at the gain element/coating interface by total internal reflection. Alternately, rays of angle  $\theta_2 < \text{ArcSin}(n_2/n_1)$  are transmitted into the coating. In our invention this second surface of the coating has a low specular reflectivity which prevents the ray from being reflected back into the laser gain element, even if the index of the surrounding medium is such that the ray might otherwise be reflected by total internal reflection. (If the reflection from this other surface is not suppressed, note that rays could still undergo total internal reflection for  $\theta_3 > \text{ArcSin}(n_2/n_3)$  where  $n_3$  is the index of the surrounding medium, i.e. coolant). This low specular reflectivity can be obtained by depositing an absorbing medium on top of the coating of index  $n_2$ , or introducing a surface or medium which scatters incident light. The latter can be obtained by roughening the surface or applying a diffusely scattering material such as particles of  $\text{BaSO}_4$ .

The utility of this invention has been demonstrated by ray-trace calculations and experiments on a rectangular parallelepiped Yb:YAG slab laser gain element. For a rectangular parallelepiped slab surrounded on four sides by a medium of index  $n_3$ , and index  $n_1$  (air) on the remaining two end faces, it can be shown that parasitic rays can be completely trapped by total internal reflection (i.e. with zero loss) if  $n_3 < (n_1^2 - 1/2)^{1/2}$  (see attached Figures 2 and 3). For Y3Al5O12 of index  $n_1 = 1.82$ , this critical index for the surrounding medium is  $n_3 = 1.677$ . Since common coolants such as water ( $n_3 = 1.33$ ) have an index much lower, rectangular parallelepiped slabs with polished faces on all six sides are avoided because of the presence of nearly zero loss parasitics which sweep out any stored energy. Note that if we only had to worry about rays in two dimensions, we would simply require that the critical angle for total internal reflection be greater than 45 degrees, i.e.  $45 < \text{ArcSin}(n_1/n_3)$ . In this way, a ray that was incident at angles  $\theta$  and  $90 - \theta$  at the two perpendicular faces and would not undergo total internal reflection at both faces.

For our experimental demonstration, we used a Yb:YAG rectangular parallelepiped slab gain element  $2.5 \times 3.5 \times 100$  mm, with coatings on the  $2.5 \times 100$  and  $3.5 \times 100$  mm sides to suppress parasitics. The  $2.5 \times 3.5$  mm end faces had antireflection coatings for normal incidence 941 nm pump and 1030 nm amplified light. (continued)

## X. Invention Description (continued)

Description of the invention (you may also attach a paper). Please include a sketch of the invention, if possible.

We wanted to maintain high reflectivity for shallow angles such that the pump light at 941 nm and the laser light at 1030 nm would undergo total internal reflection with zero loss. Therefore, we wanted to apply a coating of index only slightly larger than the  $n=1.677$  value in order to maintain reflectivity over the widest range of angles without trapping parasitic rays. Since no standard thin film materials are very near this index, we initially used  $\text{Al}_2\text{O}_3$  coatings ( $n=1.62$ ) despite its index being slightly below the desired value and then for our second iteration we used a multilayer of  $\text{Al}_2\text{O}_3$  and  $\text{HfO}_2$  to yield an effective index of  $n=1.7$ . The latter was calculated using a commercially available multilayer thin film computer program (TFCalc).

To suppress the reflectivity of the outer surfaces of the coating, we identified several possible methods. A straightforward method is to apply an absorbing film such as Ge or Cr, although this would result in local heating as fluorescence and ASE is absorbed in the thin coating. Alternately, a diffusely reflecting surface can be obtained by applying a thick layer of nonabsorbing particles of sizes on the order of the wavelength of the incident light. This is the basis for the  $\text{BaSO}_4$  coatings commercially sold by Kodak for diffuse reflectors (e.g. integrating spheres). We also investigated  $\text{Al}_2\text{O}_3$  and  $\text{ZrO}_2$  "high temperature paint" which was found to survive  $>100 \text{ W/cm}^2$  1.064  $\mu\text{m}$  light. Since the  $\text{BaSO}_4$  was straightforward to apply most of our experiments used this material. We also investigated the possibility of obtaining a ground surface finish on the exterior of the parasitic suppression coating. One option investigated here was to use ZnS as a soft, layer to be ground, with the harder oxide material as the etch stop. This seemed to be a higher risk approach and was shelved until deemed necessary.

In a zig-zag slab, only two of the faces are cooled in order to maintain one-dimensional heat flow. On these faces we decided that absorbing the fluorescence would be the best solution since this could be done with very high efficiency. In this case, the cooled side faces (3.5 x 100 mm) had the  $n=1.7$  multilayer cladded by an absorbing layer of Ge. The top and bottom faces (2.5 x 100 mm) of the slab, which are usually insulated in the zig-zag design, had the  $n=1.7$  coating with  $\text{BaSO}_4$  particles applied to the outer surface. The attached Figure 4 shows the calculated reflectivity vs angle at 1030 nm for the 3.5 x 100 mm faces. Using a He-Ne probe beam, we verified the sharp angular cutoff at the internal angle of  $\sim 70$  degrees.

Figure 5 shows the utility of these coatings verified with pulsed gain measurements performed on the Yb:YAG slab. With the coatings, a gain of 0.8 nepers was achieved in a geometry that would otherwise not generate any gain (i.e. 0 nepers)

This approach can also be applied to other laser gain element geometries such as rods. Measurements of the gain profile in our rods with polished barrels indicate the presence of barrel modes trapped in a radius  $r > r(\text{rod}) \cdot n(\text{coolant})/n(\text{YAG})$ . The attached viewgraphs describe an approach which was proposed but not implemented for reducing the effects of the trapped barrel modes.

## XI. Inventor Information

Inventor's Permanent Home Address (Please attach a separate sheet for additional inventors.)

| Full Name        | Citizenship | Street Address         | City, State, Zip Code |
|------------------|-------------|------------------------|-----------------------|
| Eric C. Honea    | US          | 12034 Glenora Way      | Sunol, CA 94586       |
| Raymond J. Beach | US          | 1599 Cross Creek Place | Livermore, CA 94550   |
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## XII. Funding Source

|  |                    |   |
|--|--------------------|---|
| Funding Source or Project Under Which the Invention Arose (Include subcontracts, CRADAs, international agreements, work for others, or special project information.) |                    |   |
| Boeing (Formerly Rockwell) CRADA TC0195-92   |                    |   |
| Resource Manager<br>Kathy Allen  | Phone #<br>3-4009  | Is funding presently being provided for development of your invention?<br><input type="checkbox"/> Yes<br><input checked="" type="checkbox"/> No            |
| LLNL Acct #<br>4720-35   | B&R #<br>DP0301C10 | Please state the source of funds (if same as above, please so state)  |
| Subcontract #  | DOE Program Code   | Do you reasonably expect future funding from the current source or other sources?<br><input type="checkbox"/> Yes<br><input checked="" type="checkbox"/> No |
| CRADA #  | Work for Others #  | If yes, what is that source?  |

## XIII. Conception of the Invention

|   |                          |  |
|---|--------------------------|--|
| Conception Date<br>May 1997   | Conception Place<br>LLNL |  |
| Earliest documentation of your invention (please provide date and identify the document)<br>Thermal calculations for slab laser incorporating these coatings were performed May 1997. Test coatings on YAG witness samples ordered 6/23/97 from Quality Thin Films (Oldsmar, Florida) |                          | First Sketch or Drawing Date<br><br>First Written Description Date |
| Names of Witnesses or others with knowledge of facts relating to conception (preferably at least 2)   |                          |  |
| Full Name   | Organization             | Telephone Number   |
| William F. Krupke   | LLNL                     | (925) 422-5905   |
| Steven A. Payne   | LLNL                     | (925) 423-0570   |
|   |                          |  |

## XIV. Reduction To Practice of the Invention

|   |  |                             |
|---|--|-----------------------------|
| Date first model completed<br>Oct 1, 1997   | Date of operation and testing<br>Nov 1, 1997 | Place of test<br>LLNL, B166 |
| Results of testing<br>First tests showed parasitic threshold of 0.35 nepers of gain, improved design (Dec 1997) showed 0.8 nepers |  |                             |
| Witnesses or others with direct knowledge of test (preferably at least 2)   |  |                             |
| Full Name   | Organization                                 | Telephone Number            |
| Joel Speth  | Lasers                                       | (925) 422-2291              |
| Scott Mitchell  | Lasers                                       | (925) 423-8843              |
|   |  |                             |

## XV. Invention Use and Disclosure

|   |  |   |             |
|---|--|---|-------------|
| Has the invention been put into use?<br><input checked="" type="checkbox"/> Yes<br><input type="checkbox"/> No                              | Is the invention scheduled to be put into use?<br><input checked="" type="checkbox"/> Yes<br><input type="checkbox"/> No | If yes, explain                             |             |
| Has the invention been disclosed to non-LLNL personnel?<br><input checked="" type="checkbox"/> Yes<br><input type="checkbox"/> No           | If yes, to whom and when?  | Name  | Date        |
|   |  | Dennis Harris, Boeing (Formerly Rockwell)   | Jul 2, 1997 |
| If yes, was the disclosure done under a non-disclosure agreement?<br><input type="checkbox"/> Yes<br><input checked="" type="checkbox"/> No |  | Petras Avizonis, Boeing (Formerly Rockwell) | Jul 2, 1997 |

LLNL File No.

**XVI. I/We believe myself/ourselves to be the first and original inventor(s) of the above-described invention.**

| Inventor Signature      | Date      | Witness Signature       | Date      |
|-------------------------|-----------|-------------------------|-----------|
| <i>Eric C. H.</i>       | 10-Mar-99 | <i>Christie Kessler</i> | 10-Mar-99 |
| <i>Raymond J. Beach</i> | 10-Mar-99 | <i>Christie Kessler</i> | 10-Mar-99 |
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**XVII. Classification Review**

Basis for unclassified release:

☐ Outside scope of AEA and EO

☐ CG-DAR-1, Topic(s) \_\_\_\_\_

☐ Other Guide(s) \_\_\_\_\_

Topic(s) \_\_\_\_\_

UCNI ☐ Yes ☐ No If YES, Guide \_\_\_\_\_

*Do not sign*

|                                  |                |           |
|----------------------------------|----------------|-----------|
| Authorized Derivative Classifier | Name and Title | Signature |
| Confirming Reviewer              | Name and Title | Signature |

**XVIII. For LLNL Patent Group Use Only**

|  |                 |
|--|-----------------|
| Possible Statutory Bars                                | Publication     |
|  | Public Use/Sale |
| Recommended Filing Date Due to Possible Statutory Bars |                 |
| Preliminary Review by:                                 | Date            |

Send the completed and signed form to the Patent Group at L-703

Proprietary Information

LLNL File No.

**XVI. I/We believe myself/ourselves to be the first and original inventor(s) of the above-described invention.**

| Inventor Signature      | Date      | Witness Signature       | Date      |
|-------------------------|-----------|-------------------------|-----------|
| <i>Em C Th</i>          | 10-Mar-99 | <i>Christie Regalae</i> | 10-Mar-99 |
| <i>Raymond T. Beach</i> | 10-Mar-99 | <i>Christie Regalae</i> | 10-Mar-99 |
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**XVII. Classification Review**

|   |  |                                      |                 |
|---|--|--------------------------------------|-----------------|
| Basis for unclassified release:   |  |                                      |                 |
| <input checked="" type="checkbox"/> Outside scope of AEA and EO<br><input type="checkbox"/> CG-DAR-1, Topic(s) _____<br><input type="checkbox"/> Other Guide(s) _____<br>Topic(s) _____ |  |                                      |                 |
| UCNI  | <input type="checkbox"/> Yes<br><input type="checkbox"/> No              | If YES, Guide _____                  |                 |
| Authorized<br>Derivative Classifier   | Name and Title<br><i>Joseph R. Milne Business Development Specialist</i> | Signature<br><i>Joseph R. Milne</i>  | <i>4/8/99</i>   |
| Confirming<br>Reviewer  | Name and Title<br><i>Wm. A. BOLLINGER CLASSIFICATION ADVISOR</i>         | Signature<br><i>Wm. A. BOLLINGER</i> | <i>04.22.99</i> |

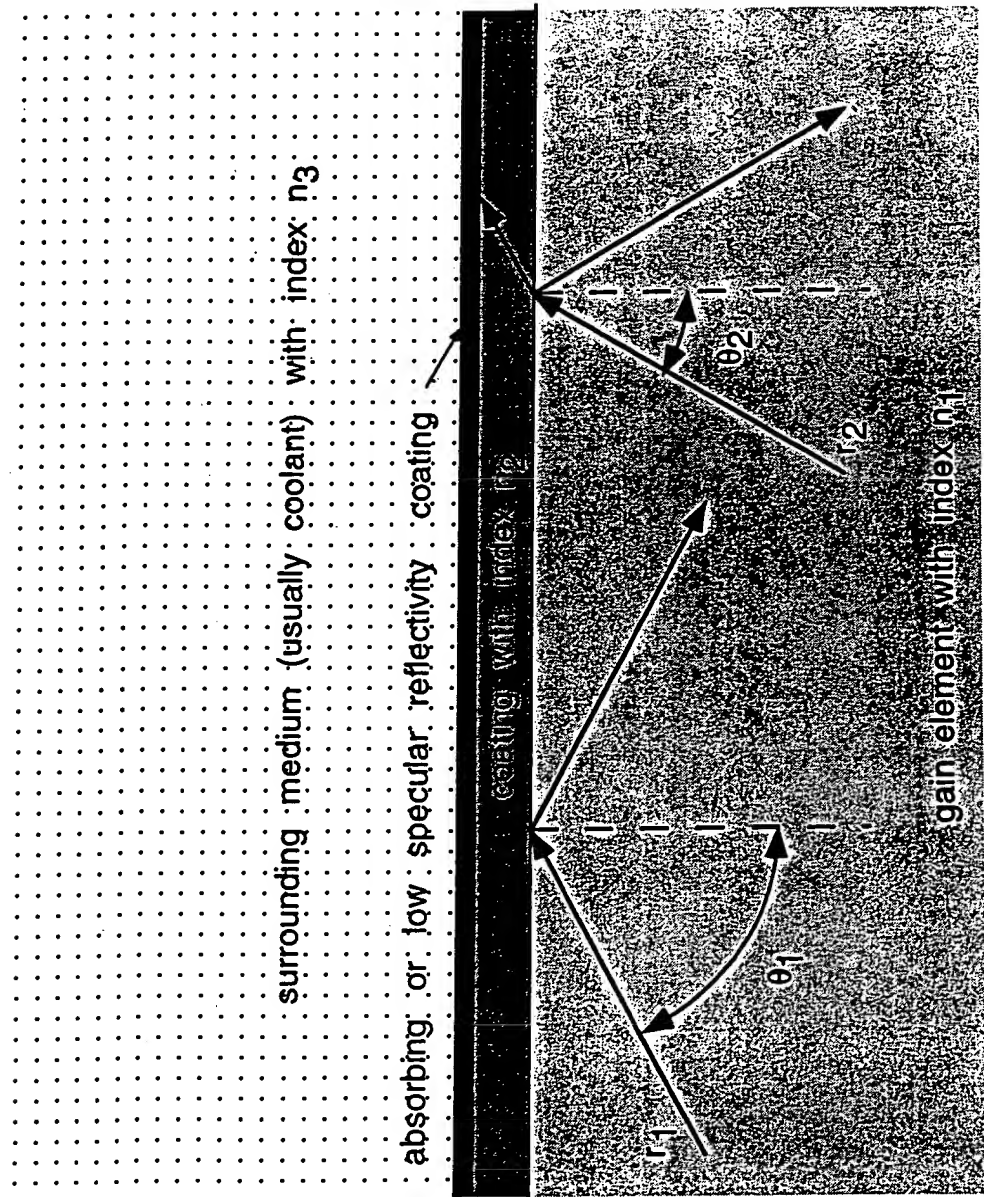
**XVIII. For LLNL Patent Group Use Only**

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|--|-----------------|
| Possible<br>Statutory<br>Bars                          | Publication     |
|  | Public Use/Sale |
| Recommended Filing Date Due to Possible Statutory Bars |                 |
| Preliminary Review by:                                 | Date            |

Send the completed and signed form to th Patent Group at L-703

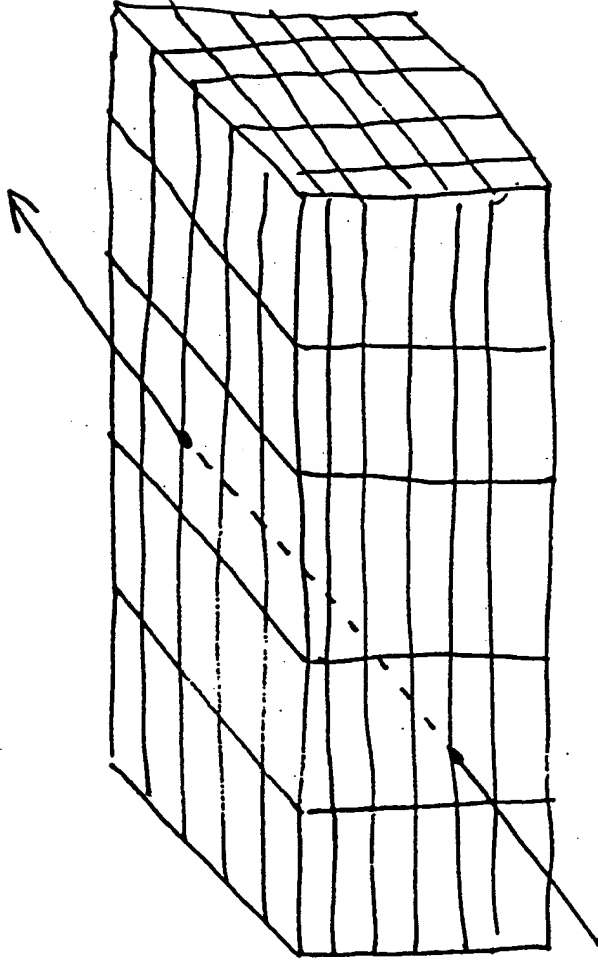
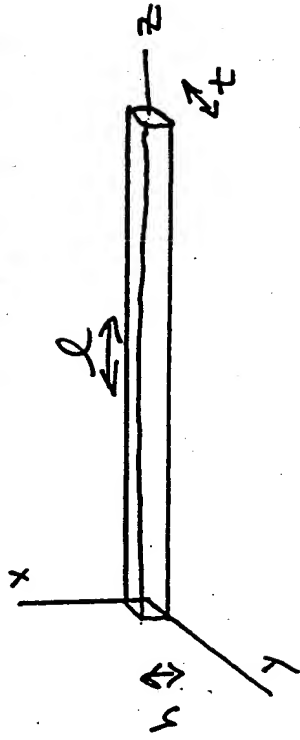
Proprietary Information

Figure 1.



Parasitic propagation directions can be identified using a method of images construction

Fig 2



fill space  
using slab  
and  
slab imagers

- define arbitrary ray direction using direction cosines  $(\cos \theta_x, \cos \theta_y, \cos \theta_z)$
- Gain of ray is given in nepers/cm by  $\frac{\ln(\text{Ref}_x)}{(h/\cos \theta_x)} + \frac{\ln(\text{Ref}_y)}{(t/\cos \theta_y)} + \frac{\ln(\text{Ref}_z)}{(L/\cos \theta_z)}$   $\alpha$

$$J = \frac{\ln(\text{Ref}_x)}{(h/\cos \theta_x)} + \frac{\ln(\text{Ref}_y)}{(t/\cos \theta_y)} + \frac{\ln(\text{Ref}_z)}{(L/\cos \theta_z)}$$

where:

$\text{Ref}_i$  is the reflection coefficient for  $i$ -oriented planes  
 $\alpha$  is slab specific gain looking

zero-loss parasitics correspond to those ray directions that are confined by TIR at all three sets of planes

Fig 3

$$\left\{ \begin{array}{l} \cos \theta_x < \cos \theta_{x-crit} = \frac{\sqrt{n_s^2 - n_c^2}}{n_s} \\ \cos \theta_y < \cos \theta_{y-crit} = \frac{\sqrt{n_s^2 - n_c^2}}{n_s} \\ \cos \theta_z < \cos \theta_{z-crit} = \frac{\sqrt{n_s^2 - 1}}{n_s} \end{array} \right.$$

where:

$n_s = \text{slab in}$

$n_c = \text{cladding in}$

• since  $1 = \cos^2 \theta_x + \cos^2 \theta_y + \cos^2 \theta_z$ , zero loss parasitics exist when

$$1 < \frac{n_s^2 - n_c^2}{n_s^2} + \frac{n_s^2 - n_c^2}{n_s^2} + \frac{n_s^2 - 1}{n_s^2}$$

or

$$n_c < \sqrt{n_s^2 - 1/2}$$

zero-loss parasitics can be completely suppressed by choosing a cladding with refractive index large enough

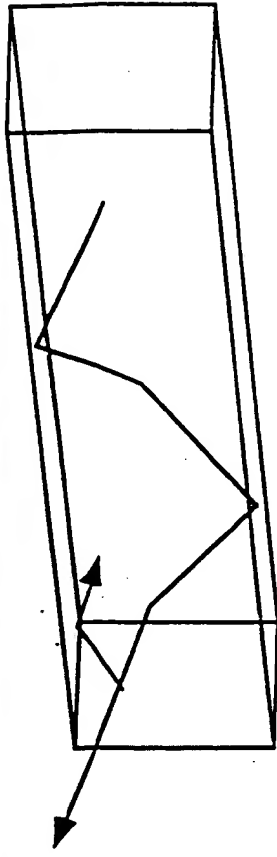
$$n_c > \sqrt{n_s^2 - 1/2}$$

Figure 4.

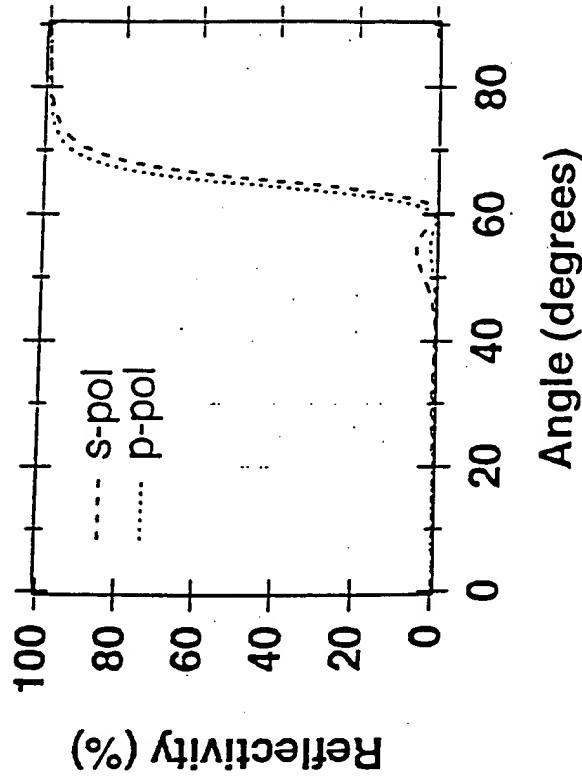
## We have demonstrated parasitic suppression using a novel dielectric coating and surface conditioning method



Detailed analysis of rays in a rectangular YAG slab shows that low threshold parasitics require bounce angles  $< 67$  degrees on the four long faces

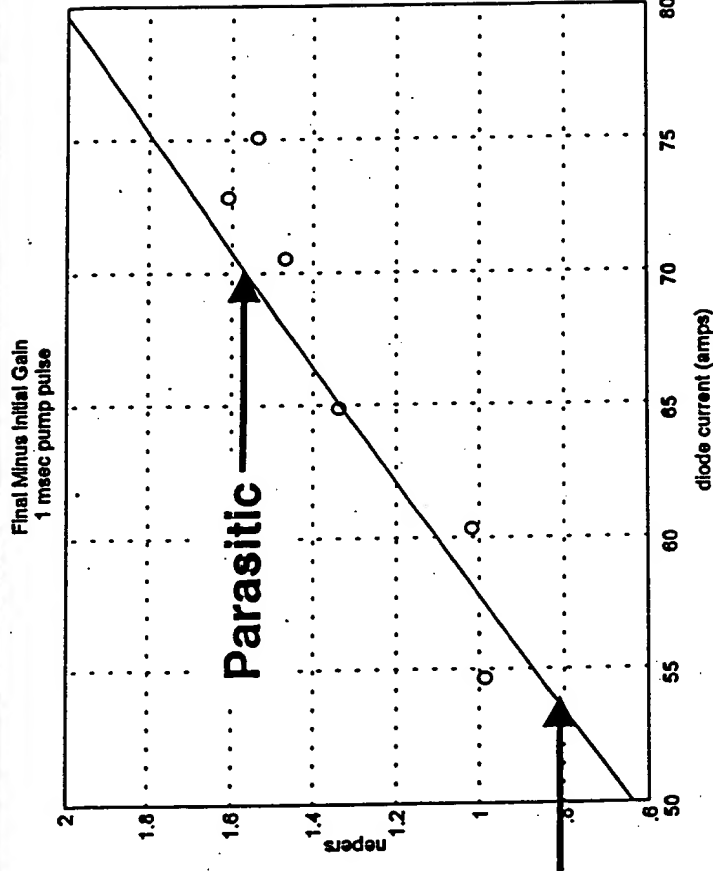


- We have designed dielectric coatings for the four long faces which provide high reflectivity for the shallow angle zig-zag laser beam and diode pump rays while suppressing higher angle parasitic rays



Measured gain of up to 0.8 nepers demonstrates successful suppression of parasitics as required for our MOPA design

At absolute gains of 0.8 nepers (0.8 nepers above transparency) we see the onset of a hard, gain-clamping, parasitic mode



Transparency at 0.82

Parasitic

- Specified full power operating point of the laser requires an absolute gain of ~0.70 nepers above transparency
- Slab is conditioned with  $\text{Al}_2\text{O}_3$  coating, as well as Barium Sulfate on its top and bottom surfaces and black ink over the central 3 cm of its side surfaces

Parasitic Suppression Coatings  
proposed for rod laser gain  
element

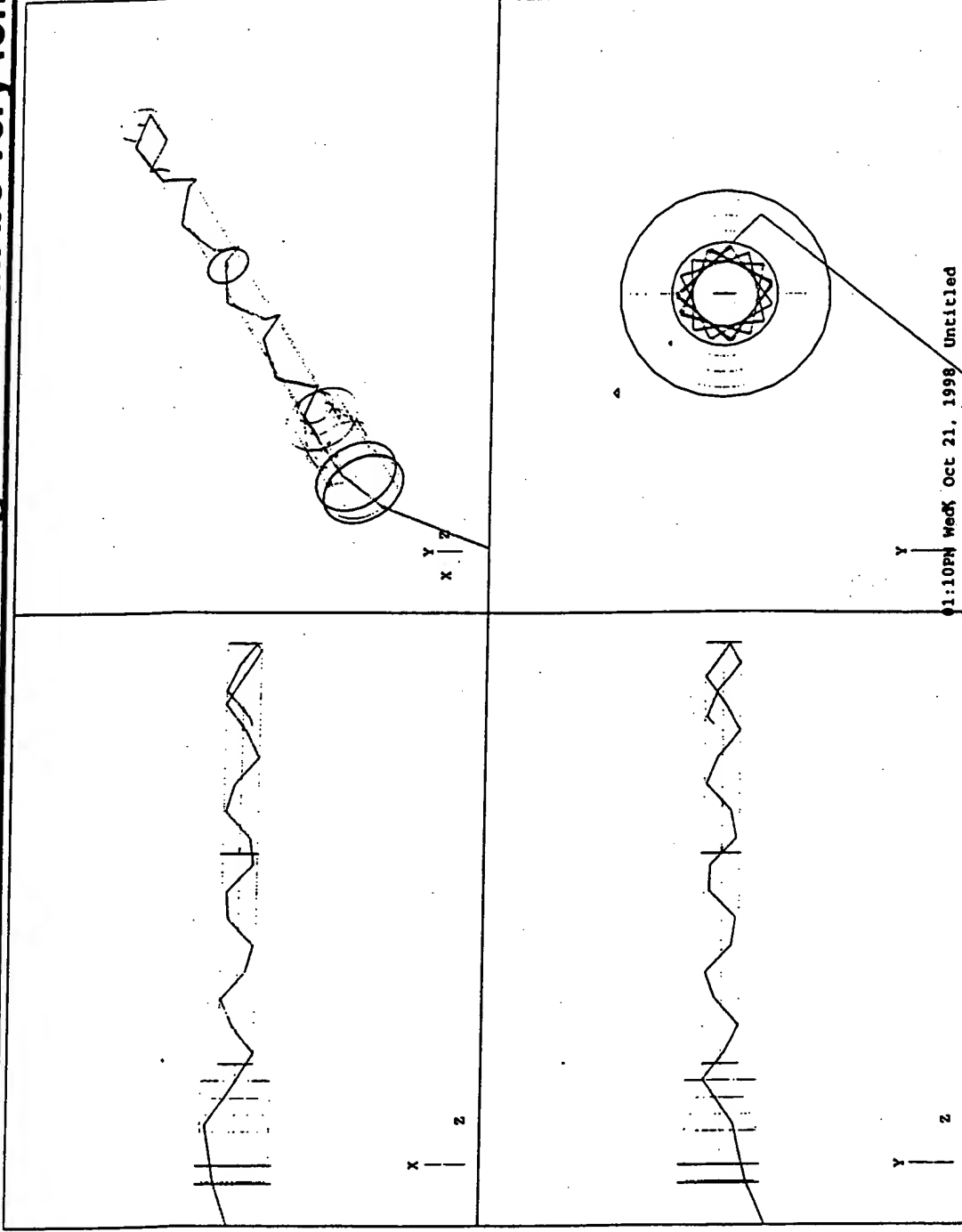
**400 W Yb:YAG Birefringence-Compensated  
Power Oscillator (BCPO) Illuminator**

Technical Interchange Meeting  
October 22, 1998

**Eric Honea and Ray Beach**  
Advanced Lasers and Components



**Although the flanged end caps are effective in outcoupling the ASE trapped in barrel modes, the path lengths can still be very long**



**The longest path length barrel modes have  $k_z \sim 0$  and are trapped within a region  $r > r_c$  where  $r_c = r_{rod} * n_{coolant}/n_{rod}$**

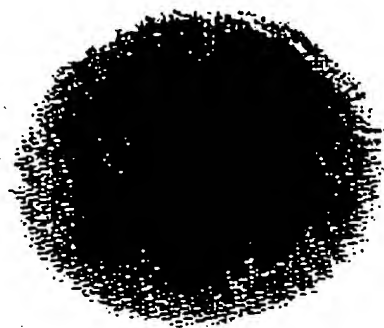
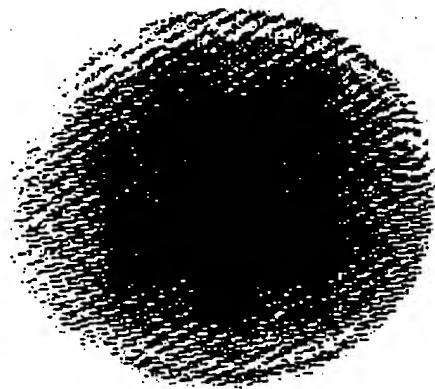
# Spatially resolved gain measurements clearly show depletion due to ASE confined in barrel modes



Yb:YAG rod in air



Yb:YAG rod in H<sub>2</sub>O



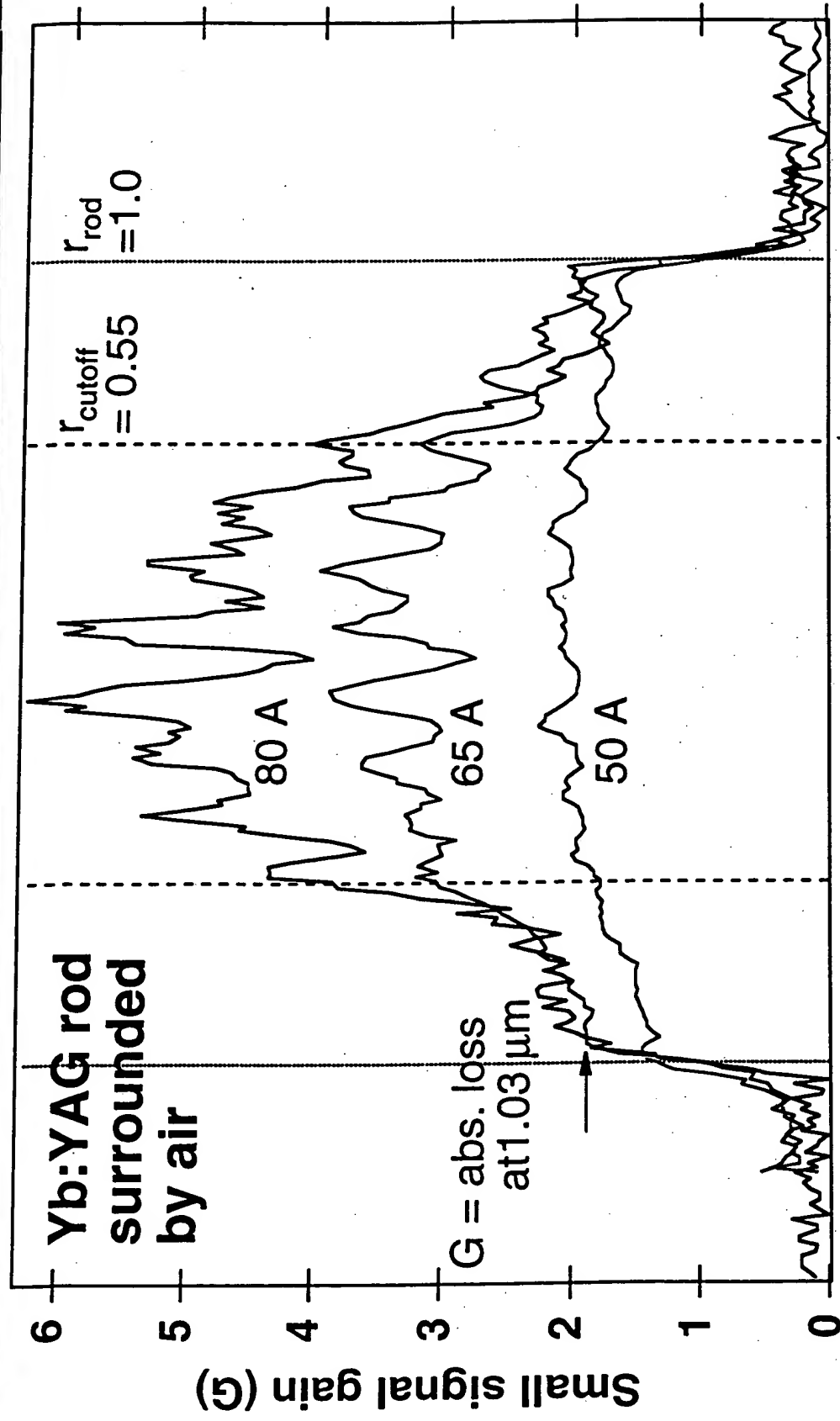
50 A

65 A

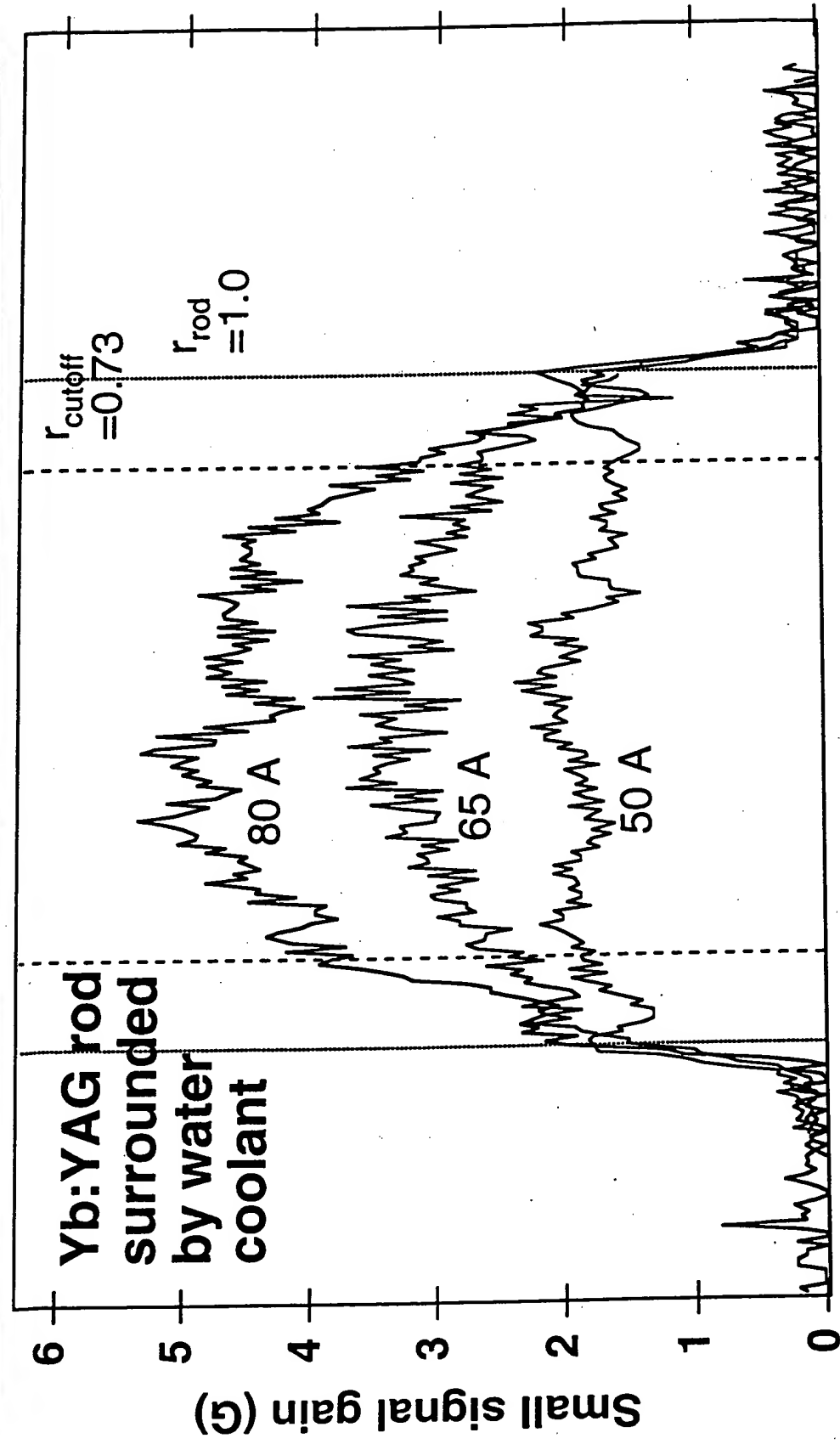
80 A

Lineouts of spatially resolved gain profiles show clear evidence of gain clamping for  $r > r_{\text{cutoff}}$  where

$$r_{\text{cutoff}} = r_{\text{rod}} \cdot n_{\text{coolant}} / n_{\text{YAG}}$$



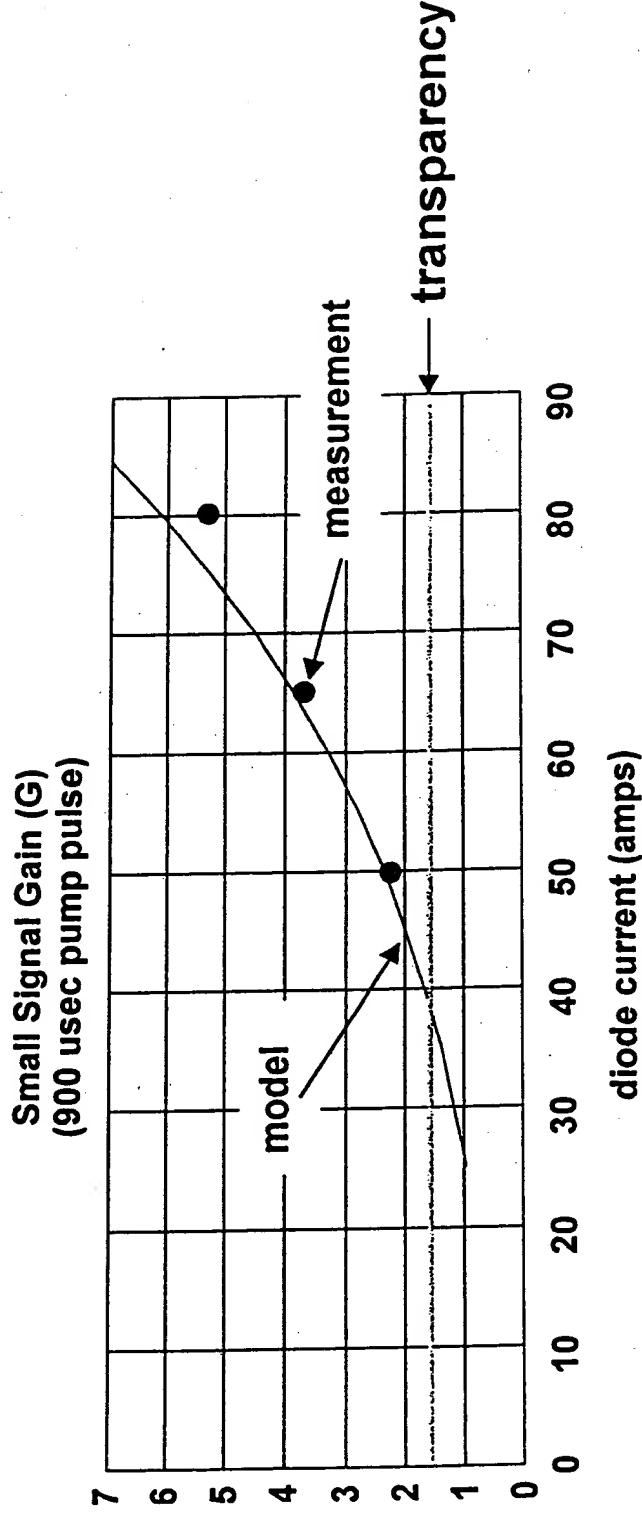
With water or other high index coolants surrounding the rod, the useful cross-sectional area of the rod is increased



# We have measured and modeled the on axis gain (spatially resolved) in the laser rod



Our measurements confirm that the on axis gain is not sensitive to the material surrounding the rod barrel (e.g. air or water)



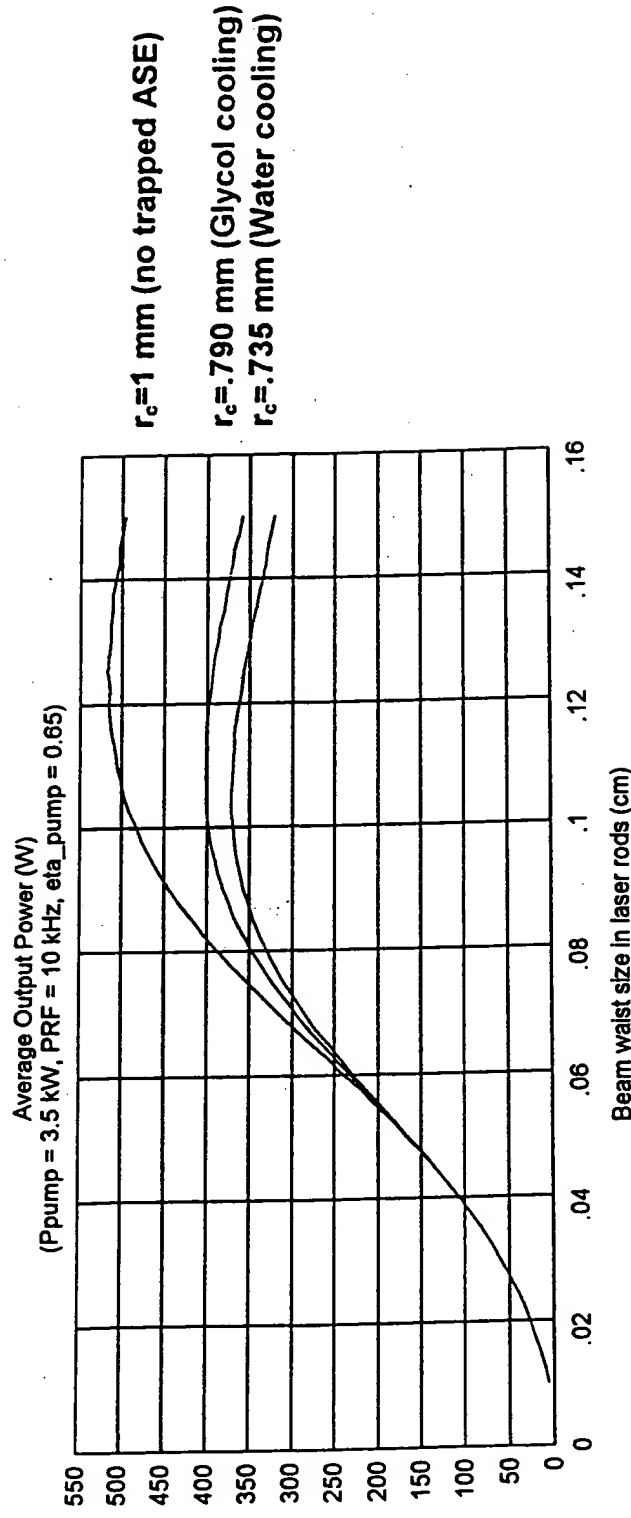
The deviation from the model may be due to untrapped ASE and possibly thermal effects such as the diodes tuning off the peak of the absorption

# We have modified our laser codes to account for trapped ASE depleting the gain around the perimeter of the laser rod



- The gain loaded mode volume is now limited by a cutoff radius,  $r_c$  (for  $r > r_c$ ,  $g=0$ )

$$modefill = \frac{1}{2} \left( \frac{\omega_0}{r_0} \right)^2 \left( 1 - e^{-2(r/r_0)^2} \right)$$



If we can devise a method to eliminate or reduce trapped ASE, then for a 1 mm beam waist we can increase the average output power of the system from ~390 W to 480 W

**A small improvement in gain area can be obtained with a higher index coolant such as ethylene glycol, but we have also identified two approaches to significantly improve the available gain**



Since the mode propagating in the rod is a gaussian, the impact on laser performance is a convolution of the gain profile and the gaussian mode (which is a function of resonator parameters).

If the coolant can be changed from water to a higher index fluid such as ethylene glycol ( $n=1.43$  compared to  $n=1.33$ ) without impacting heat transfer, the available area can be increased to 62% from 53%.

One approach to significantly improve the available gain area is to use a cladding layer of controlled index with an outer layer to absorb or diffusely scatter the ASE

-We estimate the available area can be increased to 85%

- A second approach relies on the use of tapered rods to add a  $k_z$  component with each reflection, thereby preventing the path length from becoming infinitely long for rays with  $k_z$  initially  $\sim 0$

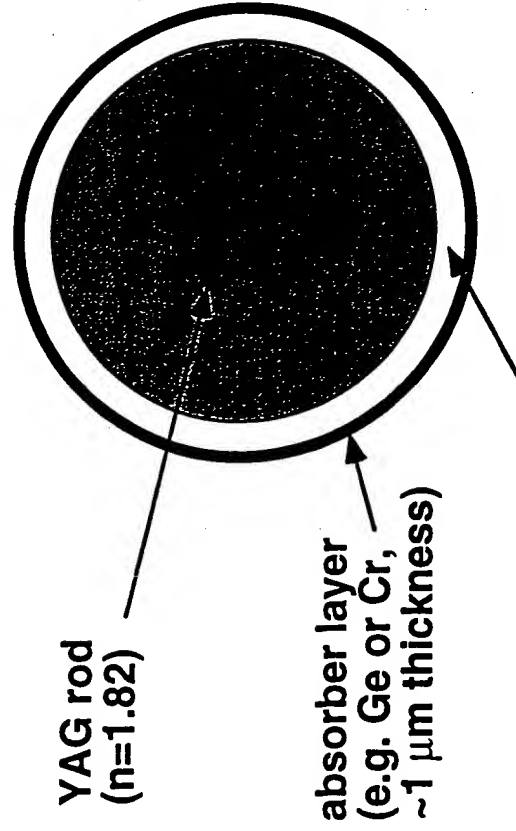
-Relatively small tapers can decrease the path length from infinity to a few tens of cm (Ray Beach calculation)

## Similar to the Yb:YAG slab scheme for parasitic suppression, we can reduce the rod area depleted by ASE using appropriately designed coatings



By applying a coating of a given index to the outside of the rod barrel, we can control which rays will undergo total internal reflection (TIR) at the rod/coating interface

Rays with an incidence angle greater than the TIR angle go through the coating to reach a second absorbing or scattering coating



-since the pump light is confined by TIR, we need to choose  $n_{\text{clad}}$  to outcouple as much ASE as possible without frustrating the pump

-a preliminary estimate is that the available area for gain can be increased to 85% of the rod area, from the present 53% value

dielectric cladding layer  
(e.g.  $n_{\text{clad}}=1.68$ ,  $5\text{ }\mu\text{m}$  thickness)